

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending October 31, 2015

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Legislative Strategies, Inc.

6287

(c) Business Address(es) of Registrant

2120 L Street, N.W.

Suite 850

Washington, D.C. 20037

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name

Position

Date Connection Ended

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name

Residence Address

Citizenship

Position

Date Assumed

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name

Residence Address

Citizenship

Position

Date Assumed

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position or Connection

Date Terminated

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position or Connection

Foreign Principal

Date Terminated

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐No ☒

If no, list names of persons who have not filed the required statement.

N/A

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Hong Kong Trade Development Council

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

2 The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Provide counsel and lobbying assistance to the Hong Kong Economic and Trade Office, Washington, D.C. Subject matters include trade issues between the U.S. and Hong Kong, U.S.- China relations that might have an impact on Hong Kong as well as inform congressional and executive branch officials on developments in Hong Kong.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please see attachment

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
10/31/15	Hong Kong Trade Development Council	For the performing of consulting services	166,500

166,500

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
4/15 - 10/15	Hong Kong Trade Development Council	Meals	40.94
4/15 - 10/15	Hong Kong Trade Development Council	Transportation	189.12

230.06

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
6/9/15	1000	Project West PAC	Washington, D.C.
9/17/15	500	Moolenaar for Congress	Washington, D.C.

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☐ No ☒

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☒ Other (specify) N/A

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☒ Other (specify) N/A

21. What language was used in the informational materials:

- ☐ English ☒ Other (specify) N/A

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

October 28, 2015

/s/ Larry E. Smith

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Question 12: Hong Kong Trade Development Council

Date	Person Contacted	Office	Means of Contact	Subject(s) Discussed
4/9/15	Scott Tatlock	US Dept. of Commerce	Phone	US-Hong Kong relations
4/9/15	Arlet Abrahamian	Rep. Brad Sherman	Email	US-Hong Kong relations
4/9/15	Louie Reckford	Sen. Jeff Merkley	Email	US-Hong Kong relations
4/10/15	Scott Tatlock	US Dept. of Commerce	Email	US-Hong Kong relations
4/13/15	Joseph Lai	Sen. Roger Wicker	Meeting	US-Hong Kong relations
4/14/15	Arlet Abrahamian	Rep. Brad Sherman	Email	US-Hong Kong relations
4/14/15	Jennifer Hendrixson White	House Committee on Foreign Affairs	Email; Phone	US-Hong Kong relations
4/17/15	Jennifer Hendrixson White	House Committee on Foreign Affairs	Email	US-Hong Kong relations
4/20/15	Scott Tatlock	US Dept. of Commerce	Email	US-Hong Kong relations
4/22/15	Tom Dycus	US Dept. of Commerce	Email	US-Hong Kong relations
4/23/15	Jennifer Hendrixson White	House Committee on Foreign Affairs	Email	US-Hong Kong relations
4/23/15	Arlet Abrahamian	Rep. Brad Sherman	Email	US-Hong Kong relations
4/27/15	Amy Chang	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
4/27/15	Scott Flipse	Congressional-Executive Commission on China	Meeting	US-Hong Kong relations
4/27/15	Jennifer Hendrixson White	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
4/27/15	Juri Jacoby	Rep. Dan Lipinski	Meeting	US-Hong Kong relations
4/27/15	Ben Kane	Rep. Alan Lowenthal	Meeting	US-Hong Kong relations
4/27/15	Kinsey Kiriakos	Rep. Brad Sherman	Meeting	US-Hong Kong relations
4/27/15	Shelley Su	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
4/27/15	Piero Tozzi	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
4/27/15	Adam Wambold	House Committee on Ethics	Meeting	US-Hong Kong relations
5/1/15	Scott Marciel	US Dept. of State	Meeting	US-Hong Kong relations
5/6/15	Jeffrey Dutton; Alain Desarran	US Dept. of Commerce	Meeting	US-Hong Kong relations
5/7/15	Roger Wicker	Sen. Roger Wicker	Meeting	US-Hong Kong relations
5/12/15	Hallie Williams	Sen. Bob Corker	Email	US-Hong Kong relations
5/20/15	Igor Khrestin	Sen. Cory Gardner	Email	US-Hong Kong relations
5/20/15	Joseph Lai	Sen. Roger Wicker	Email	US-Hong Kong relations
6/1/15	Chris Socha	Sen. Jim Risch	Email	US-Hong Kong relations
6/1/15	Amber Bland	Sen. John Barrasso	Email	US-Hong Kong relations
6/1/15	Colleen Donnelly	Sen. Jeff Flake	Email	US-Hong Kong relations
6/11/15	Brooke Eisely	Senate Committee on Foreign Relations	Phone	US-Hong Kong relations
6/18/15	Rori Kramer	US Dept. of State	Phone	US-Hong Kong relations
6/18/15	Sue Walitsky	Sen. Ben Cardin	Phone; Email	US-Hong Kong relations
6/23/15	Shelley Su	House Committee on Foreign Affairs	Phone	US-Hong Kong relations
6/24/15	Igor Khrestin	Sen. Cory Gardner	Meeting	US-Hong Kong relations
6/24/15	Chris Socha	Sen. Jim Risch	Meeting	US-Hong Kong relations
6/26/15	Jennifer Hendrixson White	House Committee on Foreign Affairs	Email; Phone	US-Hong Kong relations
6/27/15	Jennifer Hendrixson White	House Committee on Foreign Affairs	Email; Phone	US-Hong Kong relations
6/29/15	Catherine Muller	US Dept. of State	Phone	US-Hong Kong relations
7/1/15	Jennifer Hendrixson White	House Committee on Foreign Affairs	Email	US-Hong Kong relations
7/7/15	Amy Chang	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations

Date	Person Contacted	Office	Means of Contact	Subject(s) Discussed
7/7/15	Scott Flipse	Congressional-Executive Commission on China	Meeting	US-Hong Kong relations
7/7/15	Jennifer Hendrixson White	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
7/7/15	Alex Lee	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
7/7/15	Paul Protic	Congressional-Executive Commission on China	Meeting	US-Hong Kong relations
7/7/15	Jonathan Sarager	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
7/7/15	Shelley Su	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
7/7/15	Piero Tozzi	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations
8/27/15	Margarita Kofalt	US Supreme Court	Email	US-Hong Kong relations
9/2/15	Margarita Kofalt	US Supreme Court	Email	US-Hong Kong relations
9/3/15	Wanda Rubianes	Administrative Office of the US Courts	Phone; Email	US-Hong Kong relations
9/15/15	Wanda Rubianes	Administrative Office of the US Courts	Phone	US-Hong Kong relations
9/17/15	Wanda Rubianes	Administrative Office of the US Courts	Email; Phone	US-Hong Kong relations
9/17/15	Debbie Galloway	Administrative Office of the US Courts	Email	US-Hong Kong relations
9/21/15	Wanda Rubianes	Administrative Office of the US Courts	Phone	US-Hong Kong relations
10/1/15	Michael Schiffer	Senate Committee on Foreign Relations	Meeting	US-Hong Kong relations
10/6/15	Debbie Galloway	Administrative Office of the US Courts	Email	US-Hong Kong relations
10/7/15	Debbie Galloway	Administrative Office of the US Courts	Email	US-Hong Kong relations
10/7/15	Wanda Rubianes	Administrative Office of the US Courts	Email	US-Hong Kong relations
10/14/15	Debbie Galloway; Monica Sanders	Administrative Office of the US Courts	Meeting	US-Hong Kong relations
10/14/15	Aaron Adaway	US District Court	Phone	US-Hong Kong relations
10/15/15	Debbie Galloway	Administrative Office of the US Courts	Email	US-Hong Kong relations
10/19/15	Piero Tozzi	House Committee on Foreign Affairs	Email	US-Hong Kong relations
10/19/15	Amy Chang	House Committee on Foreign Affairs	Email	US-Hong Kong relations
10/19/15	Diane Dewhirst	Rep. Nancy Pelosi	Phone	US-Hong Kong relations
10/21/15	Paul Protic	Congressional-Executive Commission on China	Email	US-Hong Kong relations
10/23/15	Wyndee Parker	Rep. Nancy Pelosi	Phone	US-Hong Kong relations
10/28/15	Amy Chang	House Committee on Foreign Affairs	Meeting	US-Hong Kong relations